About the grant: This grant reimburses up to 50% of your future eligible expenses for advertising, marketing, and reaching consumers at the point of purchase to promote agricultural products with direct Kentucky farm impact.

What is Kentucky Proud®? It is the official state agricultural marketing program administered by the Kentucky Department of Agriculture (KDA).

Kentucky Proud Membership: You must be a member of Kentucky Proud for grant consideration. Please apply for membership at www.kyproud.com.

What is direct Kentucky farm impact? The dollar value of agricultural products bought, sold, grown, raised, produced, and sourced from Kentucky farms.

How the grant is funded: The Kentucky Agricultural Development Board (KADB) awards a portion of the tobacco settlement funds to KDA to support the Kentucky Proud Program.

The grant maximum: Grants are awarded between January 1st and December 31st and cannot exceed 10 percent of the applicant’s reported direct Kentucky farm impact. The maximum award is $8,000. Grantees have 6 months from their application’s approval date to use funds.

- Lifetime maximums are $36,000 beginning with the use of 2014 funds at the request of the KADB.
- If you will not use your grant, or if you require a lower amount, you must inform us no later than 3 months after approval. If you do not, whatever amount you were originally approved for will be counted against your annual and lifetime maximum.

Your grant will be withheld if you are delinquent in state taxes. KDA reserves the right to audit the compliance, amend the guidelines, revoke the award, or interpret the intent of this grant at any time, without liability, at its sole discretion. All funds are first-come, first-served.

Deadlines: Meetings take place the second Wednesday of each month. Applications are due the last day of the month to be considered at the next month’s meeting.

- The first deadline for 2019 will be December 31, 2018 for the January 9, 2019 meeting.
- If approved, you will receive a grant contract spelling out the terms. If denied, you will receive an e-mail.

You have 30 days to sign the agreement or it will be voided. If you do not submit all eligible expenses by the date listed in your contract, your remaining balance will go to help other eligible members. You are responsible for deadlines noted in your contract.

Attention to these details is essential!

- Application must be complete and received in office by the last day of the month for consideration at the next monthly review meeting.

  _ I included my Kentucky Proud Member number.
  _ I answered each of the application questions and included all attachments with specific details.
  _ I was specific about naming farms and counties where the Kentucky Proud agricultural products will be sourced.
  _ I honestly estimated direct Kentucky farm impact in dollars and understand this is subject to audit or refund of any grant dollars received.
  _ I signed and dated the Logo Use Rules page.
  _ I have received a grant since 2013, I answered the questions on Pages 5 and 6.
  _ I completed the EZ Vendor Registration Form.
  _ I signed, had notarized, and attached the original affidavit.
  _ I am age 18 or older.
  _ I comply with all laws and regulations, especially related to public health, food safety, and accuracy and legality of advertising. I understand that KDA is held harmless and is not responsible for my legal obligations.

If you have checked all of the above, please mail everything to:

Jonathan Van Balen
Kentucky Department of Agriculture
111 Corporate Drive
Frankfort, KY 40601

Questions about the grant?
E-Mail Jonathan.VanBalen@ky.gov
Business Name: __________________________ Contact Person: ________________________________
E-mail Address: __________________________ Phone Number: ________________________________
Mailing Address: _____________________________________________________________________
City: _________________ State: ___________ Zip Code: _______________
Kentucky Proud Member Number: _________ Taxpayer ID / Social Security Number: _______________
Farm locations by county: _________________________________

Type of Kentucky Proud Member: _____________________(Farm, Restaurant, Farmers’ Market, etc.)

Associates are not eligible for Promotional Grants, however they may receive other benefits through Kentucky Proud status.

1. ___________ How many Kentucky farms will benefit? Please provide the names of each farm (and their locations by county) that will benefit financially from this grant project. Please provide details of your farm story, your message to consumers, and how you plan to reach them with advertising and marketing support from this grant. Specifics and details are essential for our grant committee to rule in your favor and to promote your Kentucky Proud story.

   Example: I would answer “3” and then attach details of my sixth-generation beef cattle farm in Acme County and how my two neighbors, Smith and Jones, have joined with me to market freezer beef sold at our own country farm store.

2. $__________ What is the direct Kentucky farm impact? What is the dollar value of the agricultural products grown, raised, produced, and sourced on a Kentucky farm that will be advertised, marketed, and promoted by this grant project? Please attach specific details of what you are promoting and how you honestly estimated the dollars.

   Example: I would note that our three farms sold $30,000 of beef last year, but we think we can increase this to $45,000 with more advertising. I would conservatively list $30,000 because I know we can meet that goal.

3. $__________ Maximum grant possible based on 10 percent of direct Kentucky farm impact. Multiply the number from Line 2 by 10 percent and enter it on Line 3. The maximum grant possible is $8,000 based on 10 percent of direct Kentucky farm impact.

   Example: If you entered $30,000 worth of produce that you are sourcing from Kentucky farms on Line 2, you could be eligible for 10 percent or $3,000 worth of matching grant funds and would enter $3,000 on Line 3. If your direct Kentucky farm impact is $80,000 or more, you would enter the maximum amount of $8,000.

4. Have you previously received a grant from us or any other state agency such as Kentucky Proud, Restaurant Rewards, Buy Local, Horticulture, Wine/Grape, Ag Development Funds, etc.? YES or NO (choose one) and provide details in an attachment.

5. Will you buy, grow, sell, produce, process, manufacture, or market Kentucky Proud products even if you do not get this grant? YES or NO (choose one) and provide details. This is an important way for us to measure how committed you are to helping Kentucky farms with or without grant support. Please mention specific examples of your support in previous years.

6. Where can consumers find your Kentucky Proud product(s)? Please detail what new markets you hope to reach because of this grant. Please provide details of exactly where your product can be found (what county farmers’ market, what store and location by county, etc.)

**Please complete the Project Worksheet on the next page**
Eligible Expenses

Your application will be reviewed by KDA staff for eligibility, and if approved for funding, a legal agreement will be sent to you detailing these and other applicable state rules associated with the grant. The Kentucky Proud Logo Use Rules are found on Page 4 of this application. Reimbursement submissions will be reviewed by KDA staff to determine their compliance with the conditions of this award. **No expenses are eligible prior to KDA’s approval of the application. The following expenses are eligible for up to 50% reimbursement.**

**Radio or TV ads:** In a radio advertisement, Kentucky Proud **must** be mentioned at least once to receive 50% reimbursement. You must provide the invoice, the notarized affidavit showing when the ads ran, and the ad script. In a TV advertisement, the Kentucky Proud Logo **must** be featured prominently at the beginning or the end of the advertisement to receive 50% reimbursement.

**Web expenses:** Design, maintenance, and annual fees as long the Kentucky Proud logo is present on all pages of the website and as long as the logo rules are followed on all pages. Google ads, social media, and other electronic media expenses will be considered.

**Hats, t-shirts, clothing, or giveaways:** Must follow logo rules and must only be for employee use or for use as giveaways; not for resale. Includes all costs as part of match.

**Product labels and packaging:** Must follow logo rules and be prominent to consumers when viewed on the shelf. Includes all costs as part of match. **All packaging** (boxes, totes, bags, etc.) must incorporate the Kentucky Proud Logo as a **permanent** part of the packaging (printed, branded, embossed, etc.).

- Placing a Kentucky Proud sticker on a package is **not** eligible for reimbursement.
- Jars and bottles are **not** an eligible expense.

**Trade Shows:** Booth fees and electric hookups. **Not eligible:** hotel, travel, labor, registration fees, fees to visit a trade show.

**UPC or Bar Codes:** Match up to 50%.

**Graphic Design Expenses:** Includes design of logos, labels, packaging, and advertisements that include the Kentucky Proud Logo.

**Vehicle Wraps:** Must be pre-approved, but are generally eligible.

**Other eligible examples:** Business cards, brochures, newspaper and print ads, direct mail ads, signs, sales catalogs, video productions (excluding travel), photography (excluding travel), banners, billboards, farm signs, retail displays, kiosks, menus, posters, point-of-purchase, and other sales materials.

_The following expenses are at a flat rate_

**Demos:** $100 per demo **not to exceed 10% of total grant request.** You **must** list the location of the demo, provide photos of the display/table/booth, show use of our logo at the demo, and include sales figures/results. Demos are events open to the public. Sales calls do not qualify.

**Non-Eligible Expenses**

- Items purchased through the Kentucky Proud At-Cost Program.
- Food purchased, rental costs, or labor for an event or sale.

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**Project Worksheet**

List below how much you plan to spend on eligible expenses.

1. **Radio Ads**
   $_________________

2. **TV Ads**
   $_________________

3. **Web Expenses**
   $_________________

4. **Hats, T-Shirts, Giveaway Items**
   $_________________

5. **Labels & Packaging**
   $_________________

6. **Trade Shows**
   $_________________

7. **UPC and/or Bar Codes**
   $_________________

8. **Graphic Design Expenses**
   $_________________

**Other Expenses (list below)**

9. __________________________
   $_________________

10. __________________________
    $_________________

**Sub-Total**
   $_________________

   X 50% =  $_________________

11. **# of Demos**

   ___ X $100 =  $_________________

**MATCH REQUESTED**

(Add boxes together; Cannot exceed number on Line 3 on the previous page.)

**TOTAL**
   $_________________

For reimbursement: Please **physically mail** printed reimbursement requests, **including all invoices, photos, and samples** of completed work to:

Jonathan Van Balen, 111 Corporate Drive, Frankfort, KY 40601

Version 12/7/2018
Kentucky Proud® Logo Use Rules

Please make sure to use the Kentucky Proud Logo correctly. If you have questions, you may always ask KDA for guidance prior to having something printed, published, or produced.

You must use the Kentucky Proud Logo in advertisements, on marketing materials, and on other items that are eligible expenses in order for them to be eligible for reimbursement. If the Kentucky Proud Logo is not used, that item will be denied for reimbursement. If you are approved to use the Appalachia Proud Logo, the Homegrown by Heroes Logo, or another logo associated with a Kentucky Proud project that has received prior approval for reimbursement by KDA staff (with the exception of Kentucky Farms Are Fun), you may use them in place of the Kentucky Proud Logo, provided the version you use contains the Kentucky Proud Logo within it. Please do not use any taglines!

Kentucky Proud Logo files may be accessed in the Member Services area of the Kentucky Proud website www.kyproud.com.

The logo must comply with the following standards to receive 50% reimbursement:

1) **Size.** The width of the Kentucky Proud Logo must be at least 1/5 (20%) the width of the advertisement/marketing material in which it is used. For hats, the logo must be at least 1.5 inches wide. For other articles of clothing the logo must be at least 3 inches wide. If the Kentucky Proud Logo is smaller than these minimum requirements, you will not receive any reimbursement. If your logo/name is on the front of a package and the Kentucky Proud Logo is on the back, you will not be reimbursed. If there is any doubt about the size of the Kentucky Proud Logo, please make it larger. You must maintain the aspect ratio of the logo. The components of the logo may not be rearranged, distorted, or re-made in any way.

2) **Color.** The individual colors of the Kentucky Proud Logo (red, blue, and green) may not be changed to different individual colors. The Kentucky Proud Logo may be produced in the following single colors without prior permission: white, black, or blue. Any other single color requires written permission from KDA. The Kentucky Proud Logo in white on any solid color is acceptable.

3) **Registered Trademark Symbol.** The logo’s registered trademark attribute, ®, must be shown to denote the logo’s registered trademark status.

4) **Backgrounds.** If the Kentucky Proud Logo is going to appear over a background, such as a photo, please place a white oval behind it. Please be careful not to clip the cardinal’s wing if you decide to not leave white space around the Kentucky Proud Logo.

5) **Incorporation of the Kentucky Proud Logo as part of your own logo is not permitted.**

6) **Wine/Spirits Bottle Labels:** If you are a winery or distillery and you are authorized by the Alcohol and Tobacco Trade Bureau (TTB) to put Kentucky on the front label of your bottle, the Kentucky Proud Logo must be on the front label in order to be eligible for up to 50 percent reimbursement, subject to the size guidelines outlined above. If you are not permitted by the TTB to put Kentucky on the front label of your bottle, and the logo appears on the back label, the reimbursement rate is 25 percent subject to the size guidelines outlined above.

7) **Industrial Hemp Pilot Project Participants:** If you are a participant in the Industrial Hemp Pilot Program, the Kentucky Proud Industrial Hemp Logo may be used in place of the Kentucky Proud Logo. You must first obtain written permission from KDA’s Industrial Hemp Pilot Program staff to use the logo.

I agree to comply with these Logo Use Rules. I agree to comply with any request by KDA for additional information pertaining to my compliance with these Logo Use Rules.

Signature: __________________________________________ Date: __________________________
As with the other Kentucky Proud grant programs, the objective of this program is to assist our members in expanding their marketing exposure, increasing the awareness of Kentucky Proud, and improving the overall profitability of Kentucky-grown agricultural products. While it is challenging to determine the precise tangible benefits of these investments, it is essential for the continuation of this grant that we accurately capture how your previous marketing expenditures assisted your business. As a prerequisite for this application, you must complete the following questions to the best of your ability regarding past POP grants received since 2013.

From the following options, please select the marketing investment supported by POP funds that had the most significant impact on your operation.

- [ ] Billboard
- [ ] Clothing
- [ ] Consulting Fees
- [ ] Direct Mail
- [ ] Give-away Items
- [ ] Magazine
- [ ] Newspaper
- [ ] Product Demos
- [ ] Product Labels and Packaging
- [ ] Professional Consultant
- [ ] Radio
- [ ] Social Media
- [ ] Television
- [ ] Trade Show Exhibit
- [ ] Vehicle Wrap
- [ ] Web Design/Hosting
- [ ] Other ________________________________

From the following options, please select the marketing investment supported by POP funds that had the second most significant impact on your operation.

- [ ] Non-applicable
- [ ] Billboard
- [ ] Clothing
- [ ] Consulting Fees
- [ ] Direct Mail
- [ ] Give-away Items
- [ ] Magazine
- [ ] Newspaper
- [ ] Product Demos
- [ ] Product Labels and Packaging
- [ ] Professional Consultant
- [ ] Radio
- [ ] Social Media
- [ ] Television
- [ ] Trade Show Exhibit
- [ ] Vehicle Wrap
- [ ] Web Design/Hosting
- [ ] Other ________________________________

From the following options, please select the marketing investment supported by POP funds that had the third most significant impact on your operation.

- [ ] Non-applicable
- [ ] Billboard
- [ ] Clothing
- [ ] Consulting Fees
- [ ] Direct Mail
- [ ] Give-away Items
- [ ] Magazine
- [ ] Newspaper
- [ ] Product Demos
- [ ] Product Labels and Packaging
- [ ] Professional Consultant
- [ ] Radio
- [ ] Social Media
- [ ] Television
- [ ] Trade Show Exhibit
- [ ] Vehicle Wrap
- [ ] Web Design/Hosting
- [ ] Other ________________________________

What was the most significant impact of your promotional grant expenditures?

- [ ] Improved efficiency of sales
- [ ] Increased dollars of sales
- [ ] Increased net profits
- [ ] Increased number of farmers assisted by your business
- [ ] Introduced new product to market
- [ ] Negative impact
- [ ] No impact on business

What was the second most significant impact of your promotional grant expenditures?

- [ ] Non-applicable
- [ ] Improved efficiency of sales
- [ ] Increased dollars of sales
- [ ] Increased net profits
- [ ] Increased number of farmers assisted by your business
- [ ] Introduced new product to market
- [ ] Negative impact
- [ ] No impact on business

Please select the most appropriate quantitative response for each of the potential impacts:

- Increased dollars of sales
  - [ ] No impact
  - [ ] $1 to $999 gain
  - [ ] $1,000 to $4,999 gain
  - [ ] $5,000 to $9,999 gain
  - [ ] $10,000 to $24,999 gain
  - [ ] $25,000 to $49,000 gain
  - [ ] $50,000 to $99,000 gain
  - [ ] $100,000 to $499,000 gain
  - [ ] $500,000 to $999,000 gain
  - [ ] More than $1,000,000 gain

- Increased net profits
  - [ ] No impact
  - [ ] $1 to $999 gain
  - [ ] $1,000 to $4,999 gain
  - [ ] $5,000 to $9,999 gain
  - [ ] $10,000 to $24,999 gain
  - [ ] $25,000 to $49,000 gain
  - [ ] $50,000 to $99,000 gain
  - [ ] $100,000 to $499,000 gain
  - [ ] $500,000 to $999,000 gain
  - [ ] More than $1,000,000 gain

- Increased number of customers
  - [ ] No increase
  - [ ] 1 to 2
  - [ ] 3 to 5
  - [ ] 6 to 10
  - [ ] 11 to 15
  - [ ] 16 to 24
  - [ ] 25 to 49
  - [ ] 50 to 99
  - [ ] 100 to 249
  - [ ] 250 to 499
  - [ ] 500 to 999
  - [ ] 1,000 to 4,999
  - [ ] 5,000 to 9,999
  - [ ] More than 10,000

First time applicants should not complete this page, but if you apply for future funding in this program, feedback on the prior award will be required.
Increased number of farmers assisted by your business  □ No increase  □ 1 to 2  □ 3 to 5  □ 6 to 10  □ 11 to 15  □ 16 to 24  □ 25 to 49  □ 50 to 99  □ 100 to 249  □ 250 to 499  □ 500 to 999  □ 1,000 to 4,999  □ 5,000 to 9,999  □ More than 10,000

Introduced new products to market  □ Zero  □ 1 to 2  □ 3 to 5  □ 6 to 9  □ 10 to 19  □ More than 20

Negative impact on sales revenue, if applicable  □ Non-applicable  □ More than $10,000 loss  □ $9,999 to $5,000 loss  □ $4,999 to $1,000 loss  □ $999 to $1 loss

For each POP dollar received, how much additional did you spend on marketing and advertising expenses?
□ 1:1  □ 1.5:1  □ 2:1  □ 5:1  □ 10:1  □ 25:1

Provide a brief comment on why these funds were important to your business?

Have these funds allowed you or other farmers you work with to diversify or expand? If so, how?


Commonwealth of Kentucky
Office of the Controller
702 Capitol Ave, Room 484
Frankfort, KY 40601
(502) 564-9641
(877) 973-4357 Toll Free
https://emars.ky.gov
Fax (502) 564-5319

A  Vendor Information

*Legal Name: ____________________________

*Taxpayer ID Number: __________________

Vendor Number: _______________________

*1099 Classification: ____________________
  (Select One)
  ○ Individual
  ○ Sole Proprietor
  ○ Partnership
  ○ Corporation
  ○ LLC filing as Corporation
  ○ LLC filing as Partnership
  ○ LLC filing as Sole Proprietor
  ○ Trust/Estate

State Government
Other Government
Nonresident Alien
Foreign Business Entity

*Street: ____________________________

*City: ____________________________

*State: _________

*Zip Code: ____________

*Contact

*Phone: ____________________________

Email: ____________________________

B  Enrollment or Change Authorization for Electronic Payments

Select One: [ ] New Enrollment [ ] Financial Institution or Account Change

Financial Institution Information

Bank Name: ____________________________

Branch: ____________________________

City: ____________________________ State: _________ Zip Code: ____________

Transit/ABA#: ____________________________

Account #: ____________________________

Account Type (select one): ○ Checking Account ○ Savings Account

I, the undersigned, authorize the Commonwealth of Kentucky to initiate accounting transactions to deposit payments directly to the account indicated above and to correct any errors which may occur from the transactions. I also authorize the Financial Institution to post these transactions to that account. This authorization is to remain in force until the Commonwealth of Kentucky received written notice or cancellation.

Signature: ____________________________ Date: ____________________________

Name Printed: ____________________________ Job Title: ____________________________

Fields marked with and asterisk(∗) are required. This cannot be processed without all the required fields.
EZ Vendor Registration Application

Substitute IRS Form W-9 Certification

Under Penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. Person (defined below)

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN.

For additional information refer to the IRS website and go to Form W-9.

*Signature of U.S. Person: ____________________________________________
*Name Printed: ____________________________________________ *Date: ______________________

The following information is provided to assist you in completing your EZ Vendor Registration Application.

Select either New or Modification. For Modifications, complete ONLY fields to be updated.

Section A - Vendor Information

Complete Section A for new vendor information or vendor modifications.

Legal Name on W-9: this is the Legal Name used for 1099 IRS filings. The Commonwealth of Kentucky verifies this information with the taxpayer ID number with the IRS. Vendor records will not be processed unless the Legal Name and TIN match.

1099 Classification: Select the appropriate classification that describes your organization.

Section B - Enrollment or Change Authorization for Electronic Payments

In order add or update banking information on your vendor record, complete Section B. This section is optional.

NOTE: Email notification of payments will be sent to the email address listed on the form.

Section C - Substitute IRS Form W-9 Certification

- Definition of a U.S. person. for federal tax purposes, you are considered a U.S. person if you are:
  - An individual who is a U.S. citizen or a U.S. resident alien; A partnership, corporation, company or association created or organized in the United States or under the laws of the United States; An estate(other than a foreign estate); or A domestic trust(as defined in Regulations section 301.7701-7).
  - As a part of the KY Vendor Registration, the Commonwealth of Kentucky may request a signed and dated copy of your W-9 form. This form will be kept as an attachment to your entity's account. Registration may not be considered complete unless the W-9 is received, and payments for goods or services may be impacted without a properly executed W-9. Please submit the completed W-9 to the Customer Resource Center if requested.

Submission

Fax or email the completed form to our Customer Resource Center (CRC) at (502)564-5319 or finance.crcgroup@ky.gov.

Please Note: This is the abbreviated version of the Kentucky Vendor Registration Application. If you have multiple addresses or contacts, please complete the other form.

Fields marked with and asterisk(*) are required. This cannot be processed without all the required fields.
ANNUAL AFFIDAVIT FOR BIDDERS, OFFERORS AND CONTRACTORS

Affidavit Effective Date: ________________________________
Affidavit Expiration Date: ________________________________
Maximum Length One-Year

REQUIRED AFFIDAVIT FOR BIDDERS, OFFERORS AND CONTRACTORS

FOR BIDS AND CONTRACTS IN GENERAL:

I. Each bidder or offeror swears and affirms under penalty of perjury, that to the best of their knowledge:

a. In accordance with KRS 45A.110 and KRS 45A.115, neither the bidder or offeror as defined in KRS 45A.070(6), nor the entity which he/she represents, has knowingly violated any provisions of the campaign finance laws of the Commonwealth of Kentucky; and the award of a contract to the bidder or offeror or the entity which he/she represents will not violate any provisions of the campaign finance laws of the Commonwealth.

b. The bidder or offeror swears and affirms under penalty of perjury that, to the extent required by Kentucky law, the entity bidding, and all subcontractors therein, are aware of the requirements and penalties outlined in KRS 45A.485; have properly disclosed all information required by this statute; and will continue to comply with such requirements for the duration of any contract awarded.

c. The bidder or offeror swears and affirms under penalty of perjury that, to the extent required by Kentucky law, the entity bidding, and its affiliates, are duly registered with the Kentucky Department of Revenue to collect and remit the sales and use tax imposed by KRS Chapter 139, and will remain registered for the duration of any contract awarded.

d. The bidder or offeror swears and affirms under penalty of perjury that the entity bidding is not delinquent on any state taxes or fees owed to the Commonwealth of Kentucky and will remain in good standing for the duration of any contract awarded.

e. The bidder or offeror swears and affirms under penalty of perjury that the entity bidding, is not currently engaged in, and will not for the duration of the contract engage in, the boycott of a person or an entity based in or doing business with a jurisdiction with which Kentucky can enjoy open trade, as defined in Executive Order No. 2018-905.

f. The bidder or offeror swears and affirms that the entity bidding, and all subcontractors therein, have not violated any of the prohibitions set forth in KRS 11A.236 during the previous ten (10) years, and further pledge to abide by the restrictions set forth in such statute for the duration of the contract awarded.

FOR “NON-BID” CONTRACTS (I.E. SOLE-SOURCE; NOT-PRACTICAL OR FEASIBLE TO BID; OR EMERGENCY CONTRACTS, ETC):

II. Each contractor further swears and affirms under penalty of perjury, that to the best of their knowledge:

a. In accordance with KRS 121.056, and if this is a non-bid contract, neither the contractor, nor any member of his/her immediate family having an interest of 10% or more in any business entity involved in the performance of any contract awarded, have contributed more than the amount specified in KRS 121.150 to the campaign of the gubernatorial slate elected in the election last preceding the date of contract award.
b. In accordance with KRS 121.330(1) and (2), and if this is a non-bid contract, neither the contractor, nor officers or employees of the contractor or any entity affiliated with the contractor, nor the spouses of officers or employees of the contractor or any entity affiliated with the contractor, have knowingly contributed more than $5,000 in aggregate to the campaign of a candidate elected in the election last preceding the date of contract award that has jurisdiction over this contract award.

c. In accordance with KRS 121.330(3) and (4), and if this is a non-bid contract, to the best of his/her knowledge, neither the contractor, nor any member of his/her immediate family, his/her employer, or his/her employees, or any entity affiliated with any of these entities or individuals, have directly solicited contributions in excess of $30,000 in the aggregate for the campaign of a candidate elected in the election last preceding the date of contract award that has jurisdiction over this contract.

As a duly authorized representative for the bidder, offeror, or contractor, I have fully informed myself regarding the accuracy of all statements made in this affidavit, and acknowledge that the Commonwealth is reasonably relying upon these statements, in making a decision for contract award and any failure to accurately disclose such information may result in contract termination, repayment of funds and other available remedies under law. If the bidder, offeror, or contractor becomes non-compliant with any statements during the affidavit effective period, I will notify the Finance and Administration Cabinet, Office of Procurement Services immediately. I understand that the Commonwealth retains the right to request an updated affidavit at any time.

__________________________          ____________________________
Signature                                    Printed Name

______________________________          ____________________________
Title                                    Date

______________________________
Company Name

______________________________
Address

______________________________
Commonwealth of Kentucky Vendor Code (if known)

Subscribed and sworn to before me by

______________________________  ______________________________
(Affiant)                                    (Title)

of

______________________________
(Company Name)

_______day of ____________, 20___

Notary Public

[seal of notary]

My commission expires:  __________