



KENTUCKY DEPARTMENT OF AGRICULTURE

Office of Agricultural Marketing and Product Promotion • Organic Program
111 Corporate Drive, Frankfort, KY 40601 • (502) 573-2513 • kyagr.com
Jonathan Shell, Commissioner of Agriculture



2026 Organic Fraud Prevention Plan

The Rule:

205.201 Organic production and handling system plan.

"(a) ... An organic system plan must meet the requirements set forth in this section for organic production or handling. **An organic production or handling system plan must include:**

(3) A description of the monitoring practices and procedures to be performed and maintained, including the frequency with which they will be performed, to verify that the plan is effectively implemented. This **must** include a description of the monitoring practices and procedures to **verify suppliers in the supply chain** and organic status of agricultural products received, and **to prevent organic fraud**, as appropriate to the certified operations activities, scope, and complexity;"

In addition to the Organic System Plan, The National Organic Standards require operations to document a Organic Fraud Prevention Plan (OFPP). This plan and worksheet is used to describe the monitoring practices and procedures used to verify organic integrity within the global supply chain. It's purpose is to assess vulnerability in the market, minimize risk, and prevent fraud from emerging in the program.

OFPP's should be specific to each individual operation's scope and paractice. Attached you will find an example of a completed OFPP so use as a guide. The execution of an OFPP is the responsibility of the operation. This plan is a living document and should be updated or changed as circumstances within the operation do. This plan is not required due to any fraudulent activity - it is a process that has been adopted to connect the links in a chain of organic suppliers per the rule shown above. The accuracy and time spent on this plan only strengthens the organic program as a whole.

Instructions: The following form is a suggested template for an OFPP. This form may serve as your OFPP, but you may use another form is available - food safety programs such as GAP or HACCP may already have processes in place to satisfy the requirements for an OFPP. Resources for sourcing information about fraud plans will be listed on a separate page. Incomplete OFPP will cause a delay in certification and will be sent back for completion.

FARM INFORMATION		§205.401; §205.201(a)
Operation/Business Name:	Owner/Operator:	Date:
FRAUD PREVENTION TEAM		§205.201
Identify all persons, including roles and responsibilities, involved or responsible for approving, implementing, training, or monitoring the OFPP:		
1. Example: John Doe - Owner/Operator of Doe's Produce		
2. Does your operation have an existing OFPP?		
*If yes, attach your existing OFPP if it meets all of the criteria in this form		Yes No



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SUPPLY CHAIN MAP

§205.201

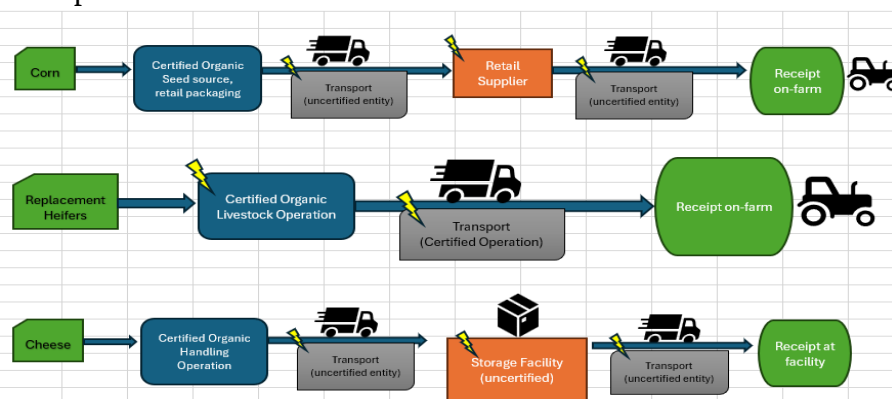
Attached

Create a graphic or flow chart/map illustrating the intake of certified organic products:

Examples may include: a hand drawn flowchart, a typed spreadsheet, or a more complex illustration created through mapping software. The graphic may include but is not limited to the following: certification status of entities involved in the intake of products, the location/origin of suppliers, all transportation events, any storage facilities, and other handling activities. The flow chart should also identify any critical control points. A separate map for each individual product is not necessary unless supply chains are significantly different. Please note that non-organic materials or inputs, like fertilizers, pesticides, and cleaners do not need to be documented in the OFPP.

Critical Control Point: A step in the supply chain which has a higher risk of fraud, contamination, or commingling. A point where extra attention must be given to ensure organic integrity.

Example:





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SUPPLY CHAIN MAP CONT.

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ORGANIC STATUS OF SUPPLIERS

§205.201

All certified organic product received must be accompanied by an organic certificate. Producers must traceback the incoming product to the last certified organic operation. These certificates must be current and comply with any import/export market compliance, if necessary. Monitoring systems must verify that all suppliers are currently certified.

1. Are all organic certificate or records on file to verify receiving of certified organic products? Yes No
*If no, describe how you verify organic status:

VULNERABILITY ASSESSMENT

§205.201

A vulnerability assessment is an internal audit that specifies and analyzes weaknesses or potential areas of concern in a system, process, or organization. It is a tool used to analyze and prevent potential risks in an area of production.

1. Explain the process that is used to carry out a Vulnerability Assessment (VA), including all the actions or factors that you considered during the audit. Factors could include: supplier's certification status, geographical location, economical factors, supply chain details, and supplier verification process:

2. Complete the table below or attach another table to describe the findings of the VA: Attached

Product	Critical Control Points	Risk Reduction Plan	Monitoring Protocols



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TRAINING AND REPORTING

§205.201

1. Describe OFPP training and how employees or others involved in the operation are notified of updates.
2. Describe your process for reporting fraud or suspected fraud to the KDA and the National Organic Program:

MONITORING

§205.201

- | | | |
|--|-----|------------------|
| 1. Do you have monitoring practices and assessment criteria used to validate the effectiveness of your OFPP? | Yes | No |
| 2. How often do you verify that your OFPP is effective? | | |
| Annual Review | | Other, describe: |

Fraud Reporting:

National Organic Program

Email: NOPCompliance@usda.gov

Phone: (202) 720-3252

Mail: NOP Compliance and Enforcement Branch

Agricultural Marketing Service

United States Department of Agriculture

1400 Independence Ave. SW

Mail Stop 0268, Room 2642-S

Washington, DC 20250-026

Website: <https://www.ams.usda.gov/services/enforcement/organic/file-complaint/onlineform>